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UTILITY **PATENT APPLICATION**

Attorney Docket No. N9450.0024/P024 Yasuharu Kitakami First Inventor

TRANSMITTAL	Title	DISK REPRODUCING APPARATUS		
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Expre	ss Mail Label No.		
APPLICATION ELEMENTS		Box Patent Application ADDRESS TO: Commissioner for Patents Washington, DC 20231		
See MPEP chapter 600 concerning utility patent application cor	ntents.	CO DOM on CD D in division to large table on		
1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
2. Applicant claims small entity status See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)		
3. X Specification [Total Pages 14]	a. Computer Readable Form (CRF)		
 (preferred arrangement set forth below) Descriptive title of the invention Cross Reference to Related Applications Statement Regarding Fed sponsored R & D Reference to sequence listing, a table, or a computer program listing appendix Background of the Invention 		b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS		
 Brief Summary of the Invention Brief Description of the Drawings (if filed) 	\	9. X Assignment Papers (cover sheet & document(s))		
 Detailed Description Claim(s) 	V	27 CFD 2 72(h) Ct-t		
- Abstract of the Disclosure	, 	(when there is an assignee) Attorney		
4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 6	==-	11. English Translation Document (if applicable)		
5. Oath or Declaration [Total Pages 3	3]	12. X Information Disclosure X Copies of IDS Statement (IDS)/PTO-1449 X Citations		
a X Newly executed (original or copy)		13. Preliminary Amendment		
b Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)		14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
i DELETION OF INVENTOR(S)		15. X Certified Copy of Priority Document(s) (if foreign priority is claimed)		
Signed statement attached deleting inventor(s) named in the prior application,	i	Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).		
see 37 CFR 1.63(d)(2) and 1.33(b).		Applicant must attach form PTO/SB/35 or its equivalent. 17. X Other: Declaration and Power of Attorney For		
6. Application Data Sheet. See 37 CFR 1.76		Patent Application		
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76;				
Continuation Divisional Continuation-in-part (CIP) of prior application No.				
For CONTINUATION or DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied				
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.				
19. CORRESPONDENCE ADDRESS				
Customer Number or Bar Code Label		or X Correspondence address below		
DICKSTEIN SHAPIRO MORIN Mark J. Thronson	& OSI	HINSKY LLP		
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Signature Manager A		Date August 10, 2001		

August 10, 2001

Date

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Not Yet Assigned **Application Number** herewith Filing Date Yasuharu Kitakami First Named Inventor Not Yet Assigned **Examiner Name** N/A **Group Art Unit**

Complete if Known

1,020.00 TOTAL AMOUNT OF PAYMENT N9450.0024/P024 Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES indicated fees and credit any overpayments to: Large Entity **Small Entity** Deposit 04-1073 Fee Fee Fee Fee Fee Account **Fee Description** Code (\$) Code (\$) Number Paid Deposit 105 130 205 65 Surcharge - late filing fee or oath Account Surcharge – late provisional filing fee or Name 127 50 227 25 cover sheet. Charge Any Additional Applicant claims small 130 139 Non-English specification 139 130 Fee Required Under entity status. See 37 CFR 1.16 and 1.17 37 CFR 1 27 147 2,520 For filing a request for ex parte reexamination 147 2,520 Requesting publication of SIR prior to 920* 112 920* 112 2. Χ **Payment Enclosed** Examiner action Requesting publication of SIR after 1,840* 1,840* 113 113 Χ Check Credit Card Money Order Other Examiner action 115 110 215 55 Extension for reply within first month **FEE CALCULATION** 1. BASIC FILING FEE 390 216 Extension for reply within second month 116 195 Large Entity Small Entity 890 217 117 445 Extension for reply within third month Fee Fee Fee Fee **Fee Description** 1,390 218 695 118 Extension for reply within fourth month Fee Paid (\$) Code (\$) Code 101 710 201 355 Utility filing fee 710.00 128 1,890 228 945 Extension for reply within fifth month 106 320 206 Design filing fee 310 219 155 160 119 Notice of Appeal 107 490 207 245 Plant filing fee 310 220 Filing a brief in support of an appeal 120 155 108 710 208 Reissue filing fee 121 270 221 355 135 Request for oral hearing 1,510 114 150 214 Provisional filing fee 138 1,510 138 Petition to institute a public use proceeding 110 240 55 140 Petition to revive – unavoidable SUBTOTAL (1) (\$) 710.00 1,240 241 141 620 Petition to revive - unintentional Extra Fee from 2. EXTRA CLAIM FEES 1,240 242 Utility issue fee (or reissue) 142 620 Fee Paid Claims below Total Claims -20** = 0.00 4 440 220 Design issue fee 143 243 Independent 3 600 -3** ≃ 0.00 144 244 300 Plant issue fee Claims 122 130 122 Petitions to the Commissioner Multiple Dependent 270.00 130 270,00 123 50 123 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 126 180 126 180 Submission of Information Disclosure Stmt Fee Fee Fee Fee Recording each patent assignment per Fee Description 581 40 581 40 40.00 (\$) Code (\$) property (times number of properties) Code 18 203 9 Claims in excess of 20 103 Filing a submission after final rejection 146 710 246 355 (37 CFR 1.129(a)) Independent claims in excess of 3 102 80 202 40 For each additional invention to be 710 249 355 149 204 Multiple dependent claim, if not paid 104 270 135 examined (37CFR 1 129(b)) ** Reissue independent claims 710 179 279 355 Request for Continued Examination (RCE) 80 209 109 40 over original patent Request for expedited examination 900 169 900 169 of a design application ** Reissue claims in excess of 20 210 9 110 18 and over original patent Other fee (specify) SUBTOTAL (2) (\$) 270 00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00 **or number previously paid, if greater; For Reissues, see above SUBMITTED BY Complete (if applicable) Registration No. Mark J. Thronson Name (print/type) 33,082 Telephone (202) 775-4742

(Attorney/Agent)

Signature